



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/870,993	06/01/2001	Gregory Guttman	19312.0013

23517  
SWIDLER BERLIN SHEREFF FRIEDMAN, LLP  
3000 K STREET, NW  
BOX 1P  
WASHINGTON, DC 20007



CONFIRMATION NO. 7543

## FORMALITIES LETTER



\*OC000000007170555\*

Date Mailed: 12/07/2001

**RECEIVED****NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL) JAN 03 2002***Filing Date Granted***Technology Center 2100**

The U.S. Patent and Trademark Office has received your reply on 11/30/2001 to the Notice to File Missing Parts (Notice) mailed 07/30/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

#6

Ser. No. 09/870,993

Attorney Docket: 19312.0013



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

JAN 03 2002

Technology Center 2100

In re Application of: :  
Gregory Guttman et al. :  
Application No. 09/870,993 : GROUP ART UNIT: 2151  
Confirmation No. 7543 :  
Filed: June 1, 2001 :  
For: A FRAMEWORK FOR :  
DEVELOPING WEB-BASED :  
AND EMAIL-BASED :  
COLLABORATIVE PROGRAMS :

**RESPONSES TO NOTICE OF INCOMPLETE REPLY**  
**IN A NONPROVISIONAL APPLICATION**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the **NOTICE OF INCOMPLETE REPLY IN A**  
**NONPROVISIONAL APPLICATION** dated December 7, 2001, applicant submits herewith the  
following:

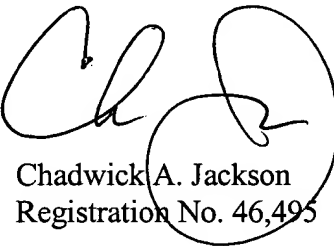
1. Copy of Notice

2. Substitute Drawings
3. Petition for Extension of Time

**CONCLUSION**

The Commissioner is hereby authorized to charge any insufficient fees or credit any overpayment associated with this application to Deposit Account No. 19-5127 (19312.0013). Fees for the second month extension were paid on November 30, 2001 therefore the amount due is decreased by \$200. A duplicate of this authorization is attached for the Finance Branch.

Respectfully submitted,  
Swidler Berlin Shereff Friedman, LLP



By: Chadwick A. Jackson  
Registration No. 46,495

Dated: December 27, 2001

SWIDLER BERLIN SHEREFF FRIEDMAN, LLP  
3000 K Street, N.W., Suite 300  
Washington, D.C. 20007  
(202) 424-7500



2151/8  
#6

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 09/870,993

Filing Date June 1, 2001

First Named Inventor Gregory Guttman

Group Art Unit 2151

Examiner Name

Attorney Docket Number 19312.0013

Total Number of Pages in This Submission 14

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## ENCLOSURES (check all that apply)

☒ Fee Transmittal Form

☐ Fee Attached

☒ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☒ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/ Incomplete Application

☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

☐ Statement Claiming Small Entity Status

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition

☐ Petition to Convert to a Provisional Application

☐ Power of Attorney, Revocation Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s)

☐ After Allowance Communication to Group

☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please identify below):

copy of Notice of Incomplete Reply in a Nonprovisional Application and Substitute Drawings

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Chadwick A. Jackson, Reg. No. 46,495

Signature

Date

December 27, 2001

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

Typed or printed name

Signature

Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

#56

# FEE TRANSMITTAL

## for FY 2001

Patent fees are subject to annual revision.

Complete if Known

Application Number 09/870,993

Filing Date June 1, 2001

First Named Inventor Gregory Guttman

Examiner Name

Group / Art Unit 2151

Attorney Docket No. 19312.0013

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JAN 03 2002

Technology Center 2100

**METHOD OF PAYMENT (check one)**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 195127, Order No. 19312.0013

Deposit Account Name Swidler Berlin Shereff Friedman, LLP

☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

**FEE CALCULATION**

1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	160	214	80	Provisional filing fee	
<b>SUBTOTAL (1)</b>					<b>(\$ 0)</b>

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
20**	0	0	0
Independent Claims	3**	0	0
Multiple Dependent		0	0

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim, if not paid	
109	84	209	42	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	
<b>SUBTOTAL (2)</b>					<b>(\$ 0)</b>

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)**

Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	260
				*Fee for 2 <sup>nd</sup> month extension paid 11/30/01	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	620	Petition to revive - unintentional	
142	1,280	242	620	Utility issue fee (or reissue)	
143	460	243	220	Design issue fee	
144	620	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	130	123	130	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify)					
*Reduced by Basic Filing Fee Paid					
<b>SUBTOTAL (3)</b>					<b>(\$260)</b>

**SUBMITTED BY**

Name (Print/Type)	Registration No. Attorney/Agent	Telephone
Chadwick A. Jackson	46,495	202 424-7500
Signature	Date	December 27, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.